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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.	02074/02091
First Inventor	Robert C. Lam
Title	High Coefficient Friction Material With Symmetrical
Express Mail Label No.	EV325383383UJS

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Mail Stop - Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

34988 U.S.P.T.O. 10/00/0000

091903

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 35]   
*(preferred arrangement set forth below)*

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 13]

5. Oath or Declaration [Total Sheets       ]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Computer Readable Form (CRF)
- Specification Sequence Listing on:
  - CD-ROM or CD-R (2 copies); or
  - Paper
- Statements verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)  
11.  English Translation Document (if applicable)  
12.  Information Disclosure  Copies of IDS  
Statement (IDS)/PTO-1449 Citations  
13.  Preliminary Amendment  
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent. **2 copies of**  
17.  Other Petition to Accept Color Drawings or Photographs and

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the color drawings specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....

*Prior application information:* Examiner \_\_\_\_\_ Art Unit \_\_\_\_\_  
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number: **20879**  **OR**  Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

14461 U.S. PTO  
0/61/03PTO/SB/17 (05-03)  
Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

Application Number	02074/02091
Filing Date	
First Named Inventor	Robert C. Lam
Examiner Name	
Art Unit	
Attorney Docket No.	02074/02091

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:
 Deposit Account Number  
15-0825  
Deposit Account Name  
Owen & Owen

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

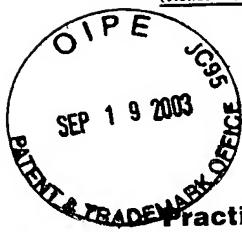
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	\$130.00
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 130.00)

\*\*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY				(Complete if applicable)	
Name (Print/Type)	Catherine B. Martineau	Registration No. (Attorney/Agent)	31,854	Telephone	419-243-1294
Signature	Catherine B. Martineau		Date	19 September 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Express Mail No: EV325383383US

Practitioner's Docket No. 02074/02091**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Robert C. Lam

Application No.: 0 / Group No.:

Filed: Examiner:

For: High Coefficient Friction Material  
With Symmetrical Friction Modifying ParticlesAssistant Commissioner for Patents  
Washington, D.C. 20231**ATTENTION: Deputy Assistant Commissioner for Patents****PETITION TO ACCEPT COLOR DRAWINGS OR PHOTOGRAPHS**  
(37 C.F.R. § 1.84(a)(2) and (b)(2))

1. This petition is for the acceptance of color:

drawings. (37 C.F.R. § 1.84(a)(2))  
 photographs. (37 C.F.R. § 1.84(b)(20))

2. Attached hereto are three (3) sets of color:

drawings.  
 photographs.

3. Please amend the specification, by inserting the following language as the first paragraph of the specification beginning a brief description of the drawing or photograph (page \_\_\_\_\_ between line \_\_\_\_\_ and \_\_\_\_\_ ).

"The file of this patent contains at least one drawing executed in color. Copies of this patent with color drawing(s) will be provided by the Patent and Trademark Office upon request and payment of necessary fee."

4. The reason(s) for the need for color drawings or photographs in this application is/are as follows:

The color photographs and color drawings are the only practicable medium for illustrating the claimed invention.

09/25/2003 MBELETE1 00000056 10666090

01 FC:1460

130.00 OP

5. The petition fee required to waive the requirements of § 1.84 (37 C.F.R. § 1.17(i)–\$130.00) is paid as follows:

Attached is a  check  money order in the amount of \$ 130.00

Authorization is hereby made to charge the amount of \$ \_\_\_\_\_

to Deposit Account No. 15-0825

to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Reg. No.: 31,854

Catherine B. Martineau

(type or print name of practitioner)

Tel. No.: ( 419 ) 243-1294

P.O. Box 916

P.O. Address

Customer No.: 20879

Toledo, Ohio 43697-0916

(Petition to Accept Color Drawings or Photograghs [9-30]—page 2 of 2)